SECRETARY OF THE SENATE
OD JAN 10 PM 2: 36

SULLIVAN & CROMWELL

January 7, 2000

MEMORANDUM TO: Secretary of the Senate
Office of Public Records

FROM: Thomas D. Mullins

Government Affairs Specialist

RE: 1999 Midyear Lobbying Report on behalf of Securities Industry Association

In response to your letter of December 10, 1999, a copy of which is enclosed for your reference, this memorandum is to affirm that we did in fact submit to your office on August 13, 1999, and to the House on the same day, a 1999 Midyear Lobbying Report (the "Report") on behalf of our client, the Securities Industry Association.

Enclosed herewith for your reference is a photocopy of this Report. We are also sending a courtesy copy of this memorandum and a copy of the Report to the Clerk of the House of Representatives, in the event they also did not receive our August submission.

Please feel free to contact me at (202) 956-7542 if you have any questions regarding this matter.

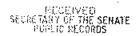
T.D.M.

(Enclosures)
CC: Clerk of the House of Representatives

Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Clerk of the House of Representatives
Office of Public Records
232 Han Building
Washington, DC 20510 Legislative Resource Gener B-106 Cannon Building Washington, DC 20513

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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

Registrant Home		
Sullivan & Cromwell	777777, -d-b A 87877, - dbb7 47787- 77- d48b 4777-	.134196F19d-414461148F1p16144-144441144-61-,
Address		
1701 Pennsylvania Avenue, NW, Wash	ington, DC 20006	
Principal Place of Business (if different from line 2)	;	
City: State/Z	ір (от Социяту)	···
Corriacs Name Telephone	E-mail (opmonal)	5. Senate ID #
Robert H. Craft, 3r. (202) 956-7	500	37232-90
Client Name		6. House ID#
Securities Industry Association		30572001
Check if this filing amends a previously filed version of this Check if this is a Termination Report □ ⇒ Termination NCOME OR EXPENSES - Complete Either	Date	11. No Lobbying Activity
12. Lobbying Firms	13. Organizations	
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ess than \$10,000 🖸	Less than \$10,000 🚨	
Sept Mars 2100000 —	\$10,000 or more	
10,000 or more	14, REPORTING METHOD. Ch	Expenses (nearest \$20,000)
·	accounting method. See instructions	For description of options.
Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for tobbying activities on behalf of the client).	Method A. Reporting amounts using LDA definitions only	
	Method B. Reporting amounts Internal Revenue Co	under section 6033(b)(8)of the
:	Method C. Reporting amounts Internal Revenue C	
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"		
rinted Name and Title		PAGE 1 of2

Registrant Name Sullivan & Cromwell Client Na	me_Securities	Industry Association	-
LOBBYING ACTIVITY. Select as many codes as necessary engaged in lobbying on behalf of the client during the report information as requested. Attach additional page(s) as needed.	ry to reflect the general ng period. Using a sep	tirring areas in which the registrant	
15. General issue area code <u>TAX</u> (one per page)			
16. Specific lobbying issues			
rederal tax legislation and regulation including H.R. 2488.	ions affecting	financial products;	
	<i>:</i>	2 73	
17. House(s) of Congress and Federal agencies contacted	Check if No	one ·	
Senate House of Representatives Joint Committee on Taxation Department of the Treasury			
18. Name of each individual who acted as a lobbyist in this	Issue area		
			1 I
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